## ORIGINAL RESEARCH

# Mapping International University Partnerships Identified by East African Universities as Strengthening Their Medicine, Nursing, and Public Health Programs



Aaron N. Yarmoshuk, BA, MSc, Anastasia Nkatha Guantai, BPharm, MPharm, PhD, Mughwira Mwangu, BA, MA, PhD, Donald C. Cole, MD, MSc, FRCP(C), Christina Zarowsky, BSc, MD, MPH, PhD

Cape Town, South Africa, Nairobi, Kenya, Dar es Salaam, Tanzania, Toronto, Ontario, and Montreal, Québec

## Abstract

**BACKGROUND** International university partnerships are recommended for increasing the capacity of sub-Saharan African universities. Many publications describe individual partnerships and projects, and tools are available for guiding collaborations, but systematic mappings of the basic, common characteristics of partnerships are scarce.

**OBJECTIVE** To document and categorize the international interuniversity partnerships deemed significant to building the capacity of medicine, nursing, and public health programs of 4 East African universities.

**METHODS** Two universities in Kenya and 2 in Tanzania were purposefully selected. Key informant interviews, conducted with 42 senior representatives of the 4 universities, identified partnerships they considered significant for increasing the capacity of their institutions' medicine, nursing, and public health programs in education, research, or service. Interviews were transcribed and analyzed. Partners were classified by country of origin and corresponding international groupings, duration, programs, and academic health science components.

**FINDINGS** One hundred twenty-nine university-to-university partnerships from 23 countries were identified. Each university reported between 25 and 36 international university partners. Seventy-four percent of partnerships were with universities in high-income countries, 15% in low- and middle-income countries, and 11% with consortia. Seventy percent included medicine, 37% nursing, and 45% public health; 15% included all 3 programs. Ninety-two percent included an education component, 47% research, and 24% service; 12% included all 3 components.

**CONCLUSIONS** This study confirms the rapid growth of interuniversity cross-border health partnerships this century. It also finds, however, that there is a pool of established international partnerships from numerous countries at each university. Most partnerships that seek to strengthen universities in East Africa should likely ensure they have a significant education component. Universities should make more systematic information about past and existing partnerships available publicly.

Conflicts of Interest: ANY was employed by the University of Toronto as its Program Manager - AMPATH-UofT when the majority of the data for this study was collected.

From the University of the Western Cape, Cape Town, South Africa (ANY, CZ); University of Nairobi, Nairobi, Kenya (ANG); Muhimbili University of Health and Allied Sciences, Dar es Salaam, Tanzania (MM); DLSPH, University of Toronto, Toronto, Ontario (DCC); and CR-CHUM/ESPUM, Université de Montréal, Montreal, Québec (CZ). Address correspondence to A.N.Y. (aaron.yarmoshuk@gmail.com).

**KEY WORDS** international partnerships, universities, global health, medicine, nursing, public health, capacity building, education, research, service, Africa

## INTRODUCTION

International partnerships between universities are identified as a means of building the capacity of health professional programs (HPPs) of universities in sub-Saharan Africa (SSA).<sup>1-3</sup> The New Partnership for Africa's Development<sup>4</sup> identified such partnerships as an "essential" step for addressing the critical shortage of skilled human resources for health in SSA—the region of the world with the greatest burden of disease relative to its health workforce.<sup>5</sup>

The Sub-Saharan African Medical School Study<sup>6</sup> characterizes international partnerships as "important assets" for their support of education, research, and service mandates through a variety of activities, including student and faculty exchanges, research, and curriculum development. The existing literature identifies numerous examples of university-touniversity partnerships with SSA universities. Categorizing them by general discipline is sometimes straightforward-for example, by medicine,<sup>7,8</sup> nursing,  $^{9-11}$  or public health  $^{12}$ —but sometimes they bridge disciplines.<sup>13</sup> Clear examples of partnership activities focusing on education,<sup>14-16</sup> research,<sup>17,18</sup> or service<sup>19</sup> also exist. Sometimes partnerships are clearly multidisciplinary, by including at least 2 health professions, and include more than 1 component of education, research, or service.<sup>13</sup> North-South partnerships are identified by the Academy of Medical Sciences and Royal College of Physicians<sup>20</sup> as the "traditional model" of academic partnerships before stating that South-South partnerships, networks, and consortia have increased in number this century.

However, after identifying the type of activities partner universities engage in and noting that medical schools have "an array" of international university partners, the Sub-Saharan African Medical School Study (p. 95) concludes that "an area for future research is how to improve and measure these collaborations to maximize efficacy and provide evidence for success." An initial step toward achieving this need is identifying systematically the number and types of international university partnerships at specific universities in SSA. **Objective.** The objective of the present study was to document and categorize the range of international university-to-university partnerships deemed significant for building the capacity of medicine, nursing, and public health professional programs at 4 East African universities.

## METHODS

This study used a concurrent mixed methods design. We conducted key informant interviews and reviewed gray literature and published reports. Quantitative analysis has dominant status<sup>21</sup> in this paper. Qualitative viewpoints are included to emphasize key issues and provide prospective.

**University Selection.** We sought a total of 4 universities in 2 countries (Kenya and Tanzania), within 1 distinct region of SSA, to explore diversity within broadly similar political, economic, and social contexts. All universities had to have medicine, nursing, and public health programs. Using purposeful selection, we included the oldest medical schools in each country and a private university, because the number of private universities in SSA has increased significantly in the past 2 decades.<sup>22</sup> The 4 universities chosen each had a teaching or affiliated hospital.

Moi University (MU), Eldoret, Kenya, was selected because its partnership with Indiana University has been referred to as successful<sup>2,3</sup> and has been used as a case study more than once.<sup>23–25</sup> University of Nairobi (UoN), the second Kenyan site, is the country's oldest and largest medical school.

Tanzania has close cultural and economic ties with Kenya, and its first medical school, Muhimbili University of Health and Allied Sciences (MUHAS) in Dar es Salaam, was founded within 5 years of UoN's\* in the 1960s. Kilimanjaro

<sup>&</sup>lt;sup>7</sup>The first medical school in East Africa, Makerere University Medical School, was found in Kampala, Uganda, in 1924. It is today housed within Makerere University College of Health Sciences (see http://90.mak.ac.ug/). Makerere produced physicians for Kenya and Tanzania before what are today the schools of medicine of UoN and MUHAS were founded, in 1967 and 1963, respectively (see http://med-school.uonbi.ac.ke/ and http:// som.muhas.ac.tz/).

Christian Medical University College (KCMUCo) in Moshi is a private university and shares commonality with UoN and MU in 2 important ways for this study. First, both KCMUCo and UoN have National Institute of Health Medical Education Partnership Initiative grants—KCMUCo with Duke University and UoN with the University of Maryland and the University of Washington.<sup>8</sup> Second, KCMUCo and MU have a common partner in Duke University, because it is also a member of the Academic Model Providing Access to Healthcare (AMPATH) Consortium led by Indiana University.

Key Terms: Academic Health Science, Partnership, Capacity Building. We begin by defining key terms used in this study: *academic health science, partners* and *partnership*, and *capacity building*.

The present study focused on academic health science at universities. This includes health education, research, and service-the first 2 components within medicine, nursing, and public health programs at 4 universities, the third component at their affiliated teaching hospitals. These institutions are often referred to as academic health science centers (AHSCs),<sup>26</sup> or academic health centers.<sup>27</sup> Although there is no standard definition for AHSCs, they generally include a medical school or program, another health professional school or program, and an affiliated teaching hospital. AHSCs are characterized as having tripartite missions that include education, research, and service. However, because academic health science center is not a term used widely in SSA and this study did not explore the political and structural relationship issues between the 4 universities and their teaching hospitals in detail-although challenges were observed-the study usually refers to universities instead of AHSCs.

The next terms are *partner* and *partnership*. A *partner* in this study is a university or a consortium of universities that engages in an education, research, or service activity with 1 or more of the *focus* universities of this study—MU, UoN, KCMUCo, or MUHAS—in medicine, nursing, or public health. Partners generally share risks and benefits.<sup>28</sup> For this paper, a *partnership* is the association between 1 of the *focus* universities and a partner university or a consortium.

*Capacity* is "the ability of individuals, organizations or systems to perform appropriate functions effectively, efficiently and sustainably."<sup>29</sup> *Capacity building* is the process of developing this ability. Once an institution is established, it may be more appropriate to use the term *capacity strengthening*  instead of *capacity building*, to recognize the existing capacity.

Sampling and Data Collection. We interviewed all current lead health representatives (eg, provost, principal, vice-chancellor\*) of each university and all current deans (or equivalent) of medicine, nursing, and public health. We interviewed at least 1 current lead representative for research and 1 current or past lead representative of each university's teaching hospital. We also interviewed past deans, research heads, and other senior representatives of each institution as appropriate. Between July 2013 and July 2014, we interviewed between 9 and 12 representatives per university (MU n = 10, UoN n = 9, KCMUCo n = 12, MUHAS n = 11) for a total of 42 representatives. In a number of instances, representatives held more than 1 senior post at the institution during his or her career, but he or she was counted for only 1 post. The interviews lasted between 32 and 133 minutes, with most lasting between 60 and 90 minutes.

The overall question we asked each key informant (KI) was: What in your opinion have been or are the 10 most significant international partnerships since 1991 for strengthening the medicine, nursing, and/or public health programs of your institution? The word significant was not defined. We are confident it was understood by all KIs to mean "important enough to merit attention."28 We stressed that the partnerships could be in any combination of the 3 health professional programs; focus on education, research, and/or service; be ongoing or have concluded; but needed to be with an university or a consortium of universities outside the focus university's country-in Africa, Asia, Europe, Oceania, or the Americas (see Appendix 2: Phase 1 Key Informant Interview Guide). In a number of instances additional information or clarification was sought in follow-up interviews, via e-mail, telephone, or SMS.

We triangulated data gathered from the key informant interviews with gray literature from MU, UoN, KCMUCo, and MUHAS (eg, annual reports, websites), published reports, and the websites of partners identified and donors who funded the partnerships. More than 450 documents were identified. They served to clarify or confirm details about the partnerships when findings differed

<sup>&</sup>lt;sup>\*</sup>MU and UoN are clearly part of larger institutions. KCMUCo is a constituent college of Tumaini University but is in the process of becoming independent. MUHAS is an independent institution.

between key informant interviews for the same partnership.

Ethics Approvals. Ethics approval was sought and obtained from the Senate Research Committee of the University of the Western Cape (13/5/15); Institutional Research and Ethics Committee Secretariat of Moi Teaching and Referral Hospital/ Moi University School of Medicine; Ethics and Research Committee, Kenyatta National Hospital/ University of Nairobi; and National Institute for Medical Research in Tanzania. Research clearance was received from the Tanzanian Commission for Science and Technology.

Data Management and Analysis. We transcribed the interviews. Data from the transcriptions were then used to complete Microsoft Excel tables of international partnerships identified by each respondent, in keeping with framework analysis approaches.<sup>30</sup> We produced a summary table of all the partnerships. For each partnership we identified (1) the name of partner institution; (2) the country in which partner was based; (3) the duration of partnership in years; (4) number of KIs who identified partnership; (5) whether the partnership was active or inactive; (6) HPPs (medicine, nursing, and/or public health) involved; (7) components (education, research, and/or service) of AHSCs included in partnership; and (8) key activities and outputs of the partnership.

Fifteen nonuniversity partnerships and nonhealth sciences university-to-university partnerships mentioned were not included in the analysis because they did not fit the criteria of being primarily university-to-university partnerships, including affiliated teaching hospitals, with at least 1 of the 3 HPPs included in this study. These included partnerships with nongovernmental organizations, bilateral donor agencies, foundations, pharmaceutical companies, consortia that were not principally between universities, and university-to-university partnerships not including the health sciences. In some cases, however, these organizations were considered a significant partnership for some HPPs; for example, Pacific Institute for Research and Evaluation (PIRE), a nonuniversity, not-for-profit organization in Chapel Hill, North Carolina, was considered one of the most significant partnerships by a MU nursing representative.

The final summary table of all partnerships identified was then analyzed using SPSS. Frequencies and crosstabs were produced. A description of each of the fields analyzed using SPSS appear in Appendix 3, Data Fields for Each International Partnership. This paper maps the general characteristics of the partnerships identified. It does not report on the value or ranking of the partnerships. This will be reported in a separate paper (A.N. Yarmoshuk et al, unpublished data, 2016).

**Findings.** *Number of partners identified.* A total of 129 international, university partners were identified: 33 by MU representatives; 36 by UoN; 25 by KCMUCo; and 35 by MUHAS.

Regions and Countries of Partners. The 129 partners were from 23 countries, not including the countries of the consortia members because they were listed simply as "consortium." All World Health Organization (WHO) regions had at least 1 partner, although all of the partners from the Americas were from North America. The majority of partners were from high-income countries from the Global North, specifically North America and Western Europe, as shown in Figure 1. The most partners, 41 (31.8%), were from the United States, followed by the United Kingdom, 11 (8.5%); South Africa and Sweden, 8 (6.2%) each; Norway, 7 (5.4%); Canada, 6 (4.7%); and Japan and the Netherlands, 4 (3.1%) each. The remaining 26 (20.2%) partners were from 15 countries; 11 of these countries had 2 partners and 4 countries had 1.

Twelve percent of partners (15 of 129) were from the WHO African Region, although from only 5 countries, and the majority, 8 of the 15 (53%), were South African universities. Ten partners (8%) were Asian or Oceanic universities: 4 from Japan, 2 each from Australia and South Korea, and 1 each from India and Singapore. In addition, India was mentioned twice as a secondary partner in a number of bilateral partnerships with universities in high-income countries. Only UoN and MUHAS identified partners from Asia. No partner from China was identified, although it was noted that the government of Kenya had approached China to upgrade the Moi Teaching and Referral Hospital facilities but the funding would be government-to-government, likely a soft loan.

Grouping the partnerships into North and South equates perfectly with high-income Organization for Economic Co-operation and Development (OECD) countries and lower middle-income countries, with the exception of partnership between UoN and the National University of Singapore, because Singapore is a high-income country but not an OECD member. Of the



19 southern partners, 13 were from middle-income countries-South Africa (8), Egypt (2), India (1), Nigeria (1), Sudan (1); and 6 partnerships with universities in low-income countries-Kenya\* (2), Malawi (2), and Uganda (2)-were identified. All the low-income partnerships were with universities in neighboring countries. India was the only non-African lower middle-income country housing a partner. The only nonconsortium partnership identified with a university from Central or West African countries was between KCMUCo and the University of Ibadan in Nigeria, although it was project-based and included a northern partner, Newcastle University, United Kingdom. A representative from the University of Ibadan was the project's principal investigator. Twenty countries were represented in the consortia: Botswana, Canada, Democratic Republic of the Congo, Ethiopia, Finland, Kenya, Malawi, Mozambique, Namibia, Nigeria, Norway, Rwanda, South Africa, Sweden, Switzerland, Tanzania, Uganda, the United Kingdom, the United States, and Zambia. Half (10/20) of these countries also had bilateral partnerships with at least 1 of the 4 focus universities.

Consortia. Ten distinct consortia were mentioned a total of 14 times,<sup>†</sup> as 3 consortia were mentioned by representatives at more than 1 of the 4 universities. Because perspectives of the consortia varied between the KIs, each incidence is counted in the findings. The 10 consortia were Afya Bora; College of Ophthalmology of Eastern Central and Southern Africa (COECSA); Consortium for Advanced Research Training in Africa (CARTA); Interprofessional Team Education Promoting Public Health (I-Step); Higher Education Alliance for Leadership Training for Health (HEALTH Alliance); Leadership Initiative for Public Health in East Africa (LIPHEA); the Norwegian Agency for Development Cooperation's Programme for Master Studies (NOMA). One Health Central and Eastern Africa (OHCEA); Southern African Centre for Infectious Disease Surveillance (SACIDS); and Training Health Researchers into Vocational Excellence in East Africa (THRiVE). Four of the

<sup>&</sup>lt;sup>\*</sup>At the time the data were collected, Kenya was a low-income country. Kenya became classified as a lower middle-income country by the World Bank in July 2015.

<sup>&</sup>lt;sup>†</sup>KCMUCo is involved in a number of consortia projects and partnerships in addition to COECSA and THRiVE: for example, Building Stronger Universities; the European and Developing Countries Clinical Trials Partnership; Gates Malaria Partnership; and Malaria Capacity Development Consortium. These were sometimes mentioned, although usually after the lead university partner. For this reason, the lead university is noted, not the consortia.

10—CARTA, COESCSA, HEALTH Alliance, and SACIDS—have only southern members, although they are all linked to northern organizations to some degree; for example, although CARTA's members are all SSA universities, it has northern partners. Of the 7 consortia with northern partners, only 1, CARTA, has northern partners from more than 1 country.\*

Coordinated Partners. In 2 separate cases, partners were sometimes mentioned individually and sometimes within a consortium. This was true of Indiana University, Brown University, Duke University, University of Toronto, and University of Utah with MU and Karolinska Institute, Umea University, University of Gothenburg, and Uppsala University with MUHAS. In both cases, the KIs referred to the individual universities more often than the consortia they form. In the case of the North American universities, the AMPATH Consortium was usually referred to as the Indiana-led consortium in recognition that Indiana was the first of these universities to partner with MU; the other universities started working with MU by linking with Indiana University, and Indiana leads the AMPATH Consortium. In the case of the Swedish universities working with MUHAS, either the Karolinska Institute was mentioned as the lead or the partnership was referred to as the MUHAS-SIDA partnership. SIDA is the Swedish International Development Agency. It is the official bilateral development agency of the Government of Sweden.

MUHAS' partnerships with universities funded by the Norwegian Agency for Development Cooperation were sometimes mentioned by the project (eg, NUFU, NOMA) or by the donor or by mentioning the partner universities. These partnerships sometimes involved multiple universities, but because the KIs focused on the role of individual universities—University of Bergen and University of Oslo—they were listed individually. The consortium nature of MUHAS' NOMA nursing project was emphasized by KIs, so it was identified as a consortium. Boston University and University of Ibadan were treated individually, although their partnerships with MUHAS and KCMUCo, respectively, also included another international partner.

How Old Is the Partnership? Still alive? Or Taking a Break? Determining the length of some partnerships was difficult because responses varied for representatives of the same institution. Some partnerships were active for a period with 1 HPP, then added another HPP to the partnership. At other times an individual who was involved with a partner from the beginning would provide a significantly earlier start date for the partnership than another representative of the same university. Consider, for example, the duration of MUHAS's partnership with the University of Bergen in Norway. Nine representatives identified it as a significant partnership but only 6 stated its duration, and the time frame ranged from 6-25 years. Respondents generally gave the number of years their HPP or they themselves had been involved, not the university overall, although some respondents did acknowledge that the university had been partnered with an institution for some time but only recently began partnering with their HPP. Finally, dating a partnership can also discount what may have come before it, as in the case of COECSA. Although it was only 2 years old when this study was conducted, the 2 consortia that merged to form it in 2012, Eastern Africa College of Ophthalmologists and Ophthalmological Society of Eastern Africa, were 7 and more than 40 years old, respectively.<sup>31-33</sup>

The length of the partnership is shown in Table 1 for the 109 of 129 partnerships whose duration was determined. Fifty partnerships, 39% of all partnerships, started in the last 5 years and were active. Twenty-four of the partnerships lasted 15 years or more, and 79% (19 of 24) of these were still active. One hundred and three (103) of the 129 partnerships (80%) were considered active. Sixtyeight percent (68%), 15 of 22, of the inactive partnerships (when the duration was known) lasted 5 years or less. Of the 26 partnerships considered inactive, 11 had been project specific; 4 were considered to be dependent on 1 individual, and when that individual switched universities, the partnerships either moved with them or ended; 4 did not have current activities but may restart (ie, hiatus); 3 had been short, contributory or advisory relationships; 2 faded over time; 1 consortium project transitioned into another consortium; and 1 partnership proved not to be a good match and ended within the first year. More than one-third, 9 of 26 (35%), of all partnerships considered inactive were at KCMUCo. Thus, more than one-third, 9 of 25, of KCMUCo's partnerships were considered inactive; 6 (18%) of MU's, 6 (17%) of MUHAS's, and 5 (14%) of

<sup>&</sup>lt;sup>\*</sup>THRiVE's 2 northern partners are from the United Kingdom, although its advisory board had a Swedish member (THRiVE, 2014).

Table 1. Duration of Partnerships by Groupings of Countries								
	Duration of Partnerships, in Years ( $n = 109$ )							
Income Level and Region of Partners	≤5	6-10	11-15	16-20	21-25	26+	Subtotal	
High income—Americas	26	4	1	6	3	1	41	
High income—Europe	11	4	7	2	3	4	31	
High income—Other	6	0	0	1	0	0	7	
Lower middle	3	0	1	0	2	0	6	
Upper middle	3	1	0	0	0	0	4	
Low income	4	2	0	0	0	0	6	
Consortia	12	2	0	0	0	0	14	
Totals	65	13	9	9	8	5	109	
% of Total	60	12	8	8	7	5	100	
Cumulative %	60	72	80	88	95	100		

UoN's partnerships were considered inactive. Two UoN partnerships started more than 30 years ago and were still ongoing.

Who Knows Who? Approximately two-thirds, 85 of 129 (66%), of the partnerships were mentioned by 1 or 2 representatives (Fig. 2). Only 2 consortia, NOMA and THRiVE, were named by more than 2 representatives. Almost a quarter, 31 of 129 (24%), of partnerships where identified by between 4 and 12 representatives. The only 2 partner universities identified by all KIs of the respective focus universities were Duke University at KCMUCo and Indiana University at MU, although at least 1 Swedish university was mentioned by each MUHAS representative. KIs often mentioned partners with which they had direct contact—for example, if they earned their PhD linked to a partner, if a student or students they were

supervising were involved in a partnership, if they were the principal investigator for a project involving a partner, or if they coordinated some aspect of a partnership. Only 9 of the medicine-only partnerships were identified by 3 or more representatives, leaving 37 of 46 (80%) medicine-only partnerships identified by only 1 or 2 representatives. More than half of the partnerships, 48 of 83 (58%), involving nursing or public health were mentioned by only 1 or 2 representatives. The partnership between UoN and Ludwig Maximilian University of Munich, Germany, was mentioned by 3 of the 9 UoN KIs, although it has only involved ophthalmology and none of the UoN representatives interviewed were ophthalmologists.

Medicine, Nursing, or Public Health? As shown in Table 2, the majority, 81 of 129 (63%), of all partnerships include only 1 HPP, with medicine-only



Income Level & Persion of Partners	No. of Partners Identified	HPPs Involved (n = 129)							
Income Level & Region of Farthers		Med	Nur	PH	Med/Nur	Med/PH	Nur/PH	ALL	
High income—Americas	47	13	3	8	4	8	3	8	
High income—Europe	38	15	9	3	2	3	0	6	
High income—Other	11	9	1	1	0	0	0	0	
Lower middle	5	3	0	0	1	1	0	0	
Upper middle	8	3	0	2	2	0	1	0	
Low income	6	1	2	0	0	1	0	2	
Consortia	14	2	1	5	0	3	0	3	
Totals	129	46	16	19	9	16	4	19	
% of Total	100	36	12	15	7	12	3	15	
Cumulative %		36	48	63	70	82	85	100	

partnerships being the most common. Seventy percent of all partnerships, 90 of 129, included medicine to some extent. Thirty-seven percent of partnerships, 48 of 129, included nursing to some extent. Forty-five percent of partnerships, 58 of 129, included public health to some extent. However, it was not the case that the level of activity or outputs realized for each HPP was necessarily equal or that the respective HPPs were involved in the partnership simultaneously in partnerships including more than 1 HPP. Consider MUHAS's partnership with Dalhousie University in Canada. The partnership began in the late 1980s when the Canadian university helped Muhimbili establish its bachelor of science in nursing degree. After the nursing program was established, there was a hiatus until the mid-2000s when activities recommenced between the 2 universities, but this time between their medical schools.

Another example is the partnership between Indiana University and MU. Although there have been some activities with the Schools of Public Health and Nursing, the bulk of activities have been with the School of Medicine, leading 1 representative to conclude that Indiana's "level of support in Medicine is so, so high you can't compare [it] to these others [ie, schools] that are spread out."

**Supporting the Tripartite Mission?** Almost all partnerships (119 of 129, or 92%) included an education component, with almost half being education only (Table 3). Almost half of all partnerships (47%, or 60 of 129) included a research component. Approximately one-quarter (31 of 129 [24%]) included a service component.

Seven of the 10 partnerships that did not include an education component were with North American partners. One partnership each from a European, high income—other, and lower middle-income

	No. of Partnerships Identified	Components (n $=$ 129)							
Income Level & Region of Partners		Edu	Res	Ser	Edu/Res	Edu/Ser	Res/Ser	ALL	
High income—Americas	47	17	3	0	10	3	4	10	
High income—Europe	38	18	0	0	14	4	1	1	
High income—Other	11	6	1	0	2	1	0	1	
Lower middle	5	4	0	0	0	0	1	0	
Upper middle	8	5	0	0	3	0	0	0	
Low income	6	4	0	0	2	0	0	0	
Consortia	14	6	0	0	3	1	0	4	
Totals	129	60	4	0	34	9	6	16	
% of Total	100	47	3	0	26	7	5	12	
Cumulative %		47	50	50	76	83	88	100	

country did not include an education component. More than one-third of the North American partnerships (17 of 47 [36%]) included service components. This compares to only 9 of the 68 (13%) from other regions. The consortia partnerships including all components were OHCEA (3) and LIPHEA (1), funded by the US Agency for International Development, and the HEALTH Alliance that was formed by the Eastern and Central African LIPHEA partners.

The specific type of activities, or results achieved, within the components were usually specified. A wide variety of education, research, and service outputs were produced through the partnerships (Table 4). Some of the outputs realized were only possible after other outputs were achieved or realized currently—for example, PhD research after education and highly cited research after service delivery. Although representatives were not asked about partnerships that supported infrastructure development (eg, construction of a building), some KIs identified such activities as valuable.

## DISCUSSION

A Multitude of Partners at Each University. Our mapping of international partnerships significant for capacity building at MU, UoN, KCMUCo, and MUHAS identified that each of the 4 universities has had a multitude of partners since 1991 (1997 in the case of KCMUCo). Ease of identifying partners from publicly available sources for the 4 universities varies significantly between the 4 institutions, generating challenges in obtaining precise estimates of partnerships. MUHAS's Research Links and Collaboration menu item on its website<sup>†</sup> and similar sections in its annual reports are most comprehensive and report on current activities (see http://www.muhas.ac.tz/index.php/ annual-reports).<sup>34-36</sup> The 2012-2013 annual report<sup>35(p31)</sup> noted 78 research partnerships with foreign institutions. The report also identifies collaborations by the various schools, the names and principal investigators of the 19 new projects and 9

Table 4. Types of Activities and Outputs Mentioned by   Component						
1 Education						
1.1 Examination (external examiners)—not considered						
capacity building by all representatives						
1.2 Curriculum development						
1.2.1 Pedagogy						
1.2.2 Diplomas						
1.2.3 Short courses						
1.2.4 Undergraduate degrees						
1.2.5 Master's degrees						
1.2.6 Doctoral degrees						
1.2.7 Fellowships						
1.3 Student exchanges						
1.3.1 One-way						
1.3.2 One-way—but partnering students						
1.3.3 Two-way—unbalanced						
1.3.4 Two-way—reciprocal						
2 Research						
2.1 Highly cited						
2.2 Publishable						
2.3 Within a PhD						
3 Service Delivery						
3.1 Care within a teaching hospital						
3.2 Care within the urban area of a university						
3.3 Care in rural area						
3.4 Prevention—health promotion						
4 Infrastructure Development & Equipment & Supplies						
4.1 Provision of equipment & supplies—information and						
communications technology, library, laboratory—common						
4.2 Construction of facilities—learnings centers, research						
facilities, hospitals.						
Note: (i) underlined subcomponents stated to be particularly significant by some key informants for achieving capacity development of their institu- tion; (ii) not necessarily distinct (eg, 2.3 can also be 2.2 and/or 2.1). ICT. information and communications technology.						

projects that ended that year and provides a summary progress report for each of the 103 current research projects, although research projects don't always identify partners.<sup>35(pp108-145)</sup> Student exchange activities are reported separately. UoN's annual reports provide names of partners but few details (see http://www.uonbi.ac.ke/uonreports).<sup>37-40</sup> Moreover, it is difficult to get a sense of the arrangements; for example, in the 2012 annual report each university involved in OHCEA is mentioned individually but no mention of OHCEA is made.<sup>37(p72)</sup> Both KCMUCo and MU provide limited partnership information online. The former has focused on the Medical Education Partnership Initiative project with Duke and THRiVE. KCMUCo annual reports do not appear to be available online, although some information on interuniversity partnerships is provided in the

<sup>&</sup>lt;sup>\*</sup>What is today known as KCMUCo was founded in 1997. However, some of its partners predate the establishment of the university. They started with KCMC. KCMC was founded in 1971.

<sup>&</sup>lt;sup>†</sup>MUHAS's website is http://www.muhas.ac.tz/. MU College of Health Sciences' website is http://chstest.mu. ac.ke/. UoN College of Health Sciences' website is http://chs.uonbi.ac.ke/. KCMUC's website is http:// www.kcmuco.ac.tz/.

annual reports of the affiliated teaching hospital, KCMC<sup>41</sup>, and hard- and soft-copy profiles of the research institute, Kilimanjaro Clinical Research Insititute.<sup>41-43</sup> One of clearest summaries of partnerships is KCMUCo's 2013 internal self-assessment.<sup>44</sup> Twenty-four nondonor international linkages are listed, 14 of which are international universities and 4 of which are consortia involving universities. MU's website provides a link to AMPATH Kenya (www.ampathkenya.org). Online access to MU's annual reports and strategic plans does not appear to be available, and its 2009-2015 strategic plan only identifies 3 partners, only 2 of which work with the College of Health Sciences.<sup>45</sup> Another MU document identifies a total of 6 partnerships for the Schools of Nursing and Public Health, but Medicine's partnerships are not mentioned.<sup>46</sup> In many cases, the 4 universities identify international university partners in documents when identifying other collaborators such as local, industry, and donor partners. Hence, substantial challenges remain in precisely determining information on international partnerships.

Geographic/Income Group Distribution. The geographic distribution of partnerships is consistent with previous findings that report that historically capacity building partnerships with SSA universities have been North-South in nature,<sup>20</sup> especially with North American and European universities.<sup>6</sup> There were some partnerships with high-income countries in Asia, but they remain limited in number and scope of activities. Our findings bring clarification to the type of South-South and African-African partnerships in existence. Except for the 1 specified and the 2 unspecified Indian partners, all of the lower middle-income country partners were in Africa. Furthermore, the only partnerships with low-income country universities were with those in neighboring countries, and the only other nonconsortium partners were from Egypt, Nigeria, and South Africa, the 3 dominant science countries in SSA.47 The findings of our study also support Brautigam's<sup>48</sup> analysis that, in health, the Chinese government is focusing on hospital-to-hospital partnerships and not university-to-university.

**Duration and Status of Partnerships.** Although subject to the recall bias of KIs, this study provides a rare examination of the duration and status of university-to-university partnerships. By asking the representatives of the 4 focus universities to identify partnerships that have existed "since 1991" we permitted respondents to consider international partners with whom they have been partnered for more than

20 years in addition to younger partnerships. That 31 of the 109 partnerships (28%) of the partnerships whose duration were identified were more than 10 years old supports the published reports indicating that capacity-building partnerships often take time to develop.<sup>49-51</sup> However, that more than half of this set of partnerships were 20 years or older leads to questions about whether interactions that are 10-15 years long should be considered "long-term" partnerships, as commentators do.<sup>52</sup> That 57% of the partnerships were established over the past 5 years and were still active roughly parallels the findings of Matheson et al<sup>53</sup> indicating the growth of university global health partnerships of North American universities.\* Types of HPPs and Number of Representatives Who Identified a Partner. The overall research question for this study sought to implement the recommendation of the Commission on Medical Education for the 21st Century to look beyond "the silos of individual professions"<sup>2</sup> and included 3 health professional programs. Unsurprisingly, considering the leading role of medicine and historically siloed natured of the health professions, 70% of all partnerships included medicine and almost two-thirds (63%) of partnerships included only 1 of the 3 HPPs. Nevertheless, that does mean that 37% of partnerships included at least 2 of the HPPs. Fifteen percent included all 3 HPPs to some extent, although the activities within these partnerships were not necessarily integrated, nor was the level of activity necessarily equal between the HPPs. That 66% of partners were identified by only 1 or 2 representatives may indicate that many partnerships include only a few representatives at an institution and reflects the focused nature of academic work, existing disciplinary boundaries, and the siloed nature of HPPs.

**Components Involved.** For 2 reasons, it is unsurprising that almost all partnerships included an education component to some degree. One, addressing capacity building often implies an educational component, because this term is developmental in nature, and Kenya and Tanzania are well known to have a shortage of health professionals working in country.<sup>54,55</sup> Two, the shortage of health researchers in SSA and the need to include training in research are well documented.<sup>56-58</sup> Therefore, it

<sup>&</sup>lt;sup>\*</sup>Interestingly, Matheson et al sent surveys to 140 North American institutions, but only 35 responded. Of these 140 institutions sent surveys, 26 were identified as partner by Moi, UoN, KCMUCo, and MUHAS representatives in our study. Only 7 of these 26 universities responded to the survey sent by Matheson et al.

is unsurprisingly that only 15 partnerships were identified that were research or research or service only.

Limitations and Directions for Further Research and Analysis. This study took place in 2 countries in 1 distinct region—East Africa—of SSA. Both countries were former British colonies, Anglophone and members of the Commonwealth, and large in terms of population and recipients of foreign aid—in 2013, Tanzania and Kenya ranked fifth and sixth in terms of human population<sup>59</sup> and second and third in terms of overseas development assistance.<sup>60</sup> These facts are important when considering the generalizability of this study's findings to the WHO African Region, which includes 47 countries with varied colonial, linguistic, and academic histories.

We could not obtain centrally produced lists of historical or current international projects or partnerships at any of the institutions over time, precluding more rigorous cohort analyses. It was not possible to determine the statistical significance of associations because of the small counts (<5 and many 0s) in many cells. In addition, data were based on the reflections of individuals during, in most cases, 1 interview, rather than being extracted from institutional databases on partnerships. Individuals were not, in most cases, offered an opportunity to review or reconsider their answers at a later date. On the other hand, representatives gave their initial, unedited impressions.

This study makes a methodologic contribution by bringing clarification to the terminology of duration, status, and activities of partnerships. It would be helpful for international partnership research if authors included general characteristics about the partnerships when reporting findings in which working in partnership was required for conducting the study.

## CONCLUSIONS

This study took a global view of significant international health partnerships at 4 East African universities by identifying the range of the international partners at four universities in 3 HPPs that helped to fulfill the tripartite mission of AHSCs. It confirms the rapid growth of interuniversity health partnerships in the last 10 years, especially with high-income countries and consortia, and also to some degree South-South partnerships. Innovative approaches within these new partnerships should be identified. As importantly, however, it shows that there is a pool of longterm partnerships at each university from which lessons can be learned.

With a majority of the partnerships not wellknown among senior health representatives of the universities and confined to specific faculties, departments, or even, perhaps, individuals, it raises the question to what degree lessons and innovations are learned between partnerships and whether or when individual partnerships should work together to some degree. Universities could better publicize information about their partnerships by presenting basic information about them systematically on their websites and in their annual reports.

#### REFERENCES

- 1. World Health Organization. The World Health Report 2006: Working Together for Health. Geneva, Switzerland: World Health Organization; 2006.
- Frenk J, Chen L, Bhutta Z, et al. Health professionals for a new century: transforming education to strengthen health systems in an interdependent world. Lancet 2010;376: 1923-58.
- Mulvihill JD, Debas HT. Long-term academic partnerships for capacity building in health in developing countries. In: Parker RG, Sommer M, eds. Routledge Handbook of Global

Public Health. Abingdon, UK: Routledge; 2011:506-15.

- New Partnership for Africa's Development. NEPAD Health Strategy, 2003. Adopted at the Assembly of the African Union.
- World Health Organization. Global Burden of Disease: 2004 update. Geneva, Switzerland: World Health Organization; 2008.
- Mullan F, Frehywot S, Chen C. The Sub-Saharan African Medical School Study: Data, Observation, and Opportunity 2010. Available at www. k4health.org. Accessed 25 August 2016.
- Einterz RM, Kimaiyo S, Mengech HN, et al. Responding to the HIV pandemic: the power of an academic medical partnership. Acad Med 2007;82:812–8.
- Collins FS, Glass RI, Whitescarver J, Wakefield M, Goosby EP. Developing health workforce capacity in Africa. Science 2010;330:1324–5.
- Swan BA, Al-Gasseer N, Lang NM. Global partnerships to strengthen the evidence base for nursing. Nurs Econ 2003;21:247–52.
- Astle BJ. Building and Sustaining International Partnerships in Higher Education in Nursing [dissertation].

Edmonton, AL: University of Alberta 21. Leech NL, Onwuegbuzie AJ. Guide-(Canada); 2008:282. lines for conducting and reporting

- Kohi TW, Portillo CJ, Safe J, Okonsky J, NilssonAC, Holzemer WL. The Tanzania HIV/AIDS nursing education (THANE) preservice curriculum. J Assoc Nurses AIDS Care 2010;21: 92–8.
- 12. Ezeh A, Izugbara C, Kabiru C, et al. Building capacity for public and population health research in Africa: the consortium for advanced research training in Africa (CARTA) model. Global Health Action 2010;3:5693.
- Binanay CA, Akwanalo CO, Aruasa W, et al. Building sustainable capacity for cardiovascular care at a public hospital in Western Kenya. J Am Coll Cardiol 2015;66:2550–60.
- 14. Oman K, Khwa-Otsyula B, Majoor G, Einterz R, Wasteson A. Working collaboratively to support medical education in developing countries: the case of the Friends of Moi University Faculty of Health Sciences. Educ Health (Abingdon) 2007;20(1):12.
- 15. Pallangyo K, Debas HT, Lyamuya E, et al. Partnering on education for health: Muhimbili University of Health and Allied Sciences and the University of California San Francisco. J Public Health Policy 2012;33(suppl 1):s13–22.
- **16.** Amde WK, Sanders D, Lehmann U. Building capacity to develop an African teaching platform on health workforce development: a collaborative initiative of universities from four sub Saharan countries. Human Resour Health 2014;12:31.
- 17. Zumla A, Huggett J, Dheda K, Green C, Kapata N, Mwaba P. Trials and tribulations of an African-led research and capacity development program: the case for EDCTP investments. Trop Med Intern Health 2010;15:489–94.
- 18. de-Graft Aikins A, Arhinful DK, Pitchforth E, Ogedegbe G, Allotey P, Agyemang C. Establishing and sustaining research partnerships in Africa: a case study of the UK-Africa Academic Partnership on Chronic Disease. Global Health 2012;8:29.
- **19.** Inui TS, Nyandiko WM, Kimaiyo SN, et al. AMPATH: living proof that no one has to die from HIV. J Gen Intern Med 2007;22:1745–50.
- 20. The Academy of Medical Sciences and Royal College of Physicians. Building institutions through equitable partnerships in global health: conference report. In: Building Institutions through Equitable Partnerships in Global Health: A Two Day Global Health Conference. London, UK: The Academy of Medical Sciences; 2012.

- Leech NL, Onwuegbuzie AJ. Guidelines for conducting and reporting mixed research in the field of counseling and beyond. J Counsel Dev 2010;88:61–9.
- 22. Thaver B. The private higher education sector in Africa: current trends and themes in six country studies. JHEA/RESA 2008;6:127–42.
- 23. Obamba MO, Kimbwarata J, Riechi AR. Development impacts of international partnerships: a Kenyan case study. In: Sehoole C, Knight J, eds. Internationalisation of African Higher Education: Towards Achieving the MDGs. Rotterdam, The Netherlands: Sense Publishers; 2013: 151–76.
- 24. Park P, Bhatt A, Rhatigan J. The Academic Model for the Prevention and Treatment of HIV/AIDS. Available at: www.ghdonline.org/; 2011. Accessed 25 January 2016.
- 25. Mamlin J, Kimaiyo SN, Nyandiko W, Tierney W. Academic Institutions Linking Access to Treatment and Prevention: Case Study. Geneva, Switzerland: World Health Organization; 2004.
- 26. Smith T, Whitchurch C. The Future of the Tripartite Mission: Re-examining the Relationship Linking Universities, Medical Schools and Health Systems. Higher Education Management and Policy, Vol. 14/2. http:// dx.doi.org/10.1787/hemp-v14-art12en; 2002. Accessed 25 August 2016.
- 27. Kohn LT, ed. Academic Health Centers: Leading Change in the 21st Century. Washington, DC: National Academies Press; 2004.
- [CD-ROM]Concise Oxford English Dictionary. 10th ed. Oxford, UK: Oxford University Press; 2001.
- 29. Milèn A. What Do We Know about Capacity Building? An Overview of Existing Knowledge and Good Practice. Geneva, Switzerland: Department of Health Service Provision, World Health Organization; 2001.
- 30. Boyd A, Cole D, Cho D-B, Alsanyan G, Bates I. Frameworks for evaluating health research capacity strengthening: a qualitative study. Health Res Policy Syst 2013;11:46.
- Kagame K. Eastern Africa College of Ophthalmologists. Available at: www.iapb.org. Accessed January 18, 2016.
- 32. Nsibirwa DSG. Sponsorship of 40th Ophthalmological Society of Eastern Africa Annual Conference on 23-24 August 2012. Available at: www.dog. org. Accessed January 18, 2016.
- COECSA. Background. Available at: www.coecsa.org; Accessed: 12 February 2015.
- 34. Muhimbili University of Health and Allied Sciences. Annual Report

2010-2011. Dar es Salaam, Tanzania: Muhimbili University of Health and Allied Science; 2011.

- 35. Muhimbili University of Health and Allied Sciences, Directorate of Planning and Development. Annual Report for 2012/2013. Dar es Salaam, Tanzania: Muhimbili University of Health and Allied Science; 2014.
- 36. Muhimbili University of Health and Allied Sciences. Annual Report 2008/2009. Dar es Salaam, Tanzania: Muhimbili University of Health and Allied Science; 2009.
- University of Nairobi. Annual Report 2012. Nairobi, Kenya: University of Nairobi; 2012.
- University of Nairobi. Annual Report 2011. Nairobi, Kenya: University of Nairobi; 2011.
- University of Nairobi. Annual Report 2010. Nairobi, Kenya: University of Nairobi; 2010.
- University of Nairobi. Annual Report 2009. Nairobi, Kenya: University of Nairobi; 2009.
- Jeremia D. Kilimanjaro Christain Medical Centre Annual Report 2011, KCMC. Available at: www. kcmc.ac.tz/. Accessed: 3 April 2014.
- 42. Current research programs at KCRI/ KCMC. Available at www.kcri.ac.tz. Accessed 3 April 2014.
- 43. Kilimanjaro Ĉlinical Research Institute (KCRI). KCRI Profile. Good Samaritan Foundation Kilimanjaro Clinical Research Institute at Kilimanjaro Christian Medical Centre (KCMC). Undated.
- 44. Mallya A, Maro V, Mushi D, et al. Institutional Self-Assessment Report for the Period 2008-2012. Moshi, Tanzania: Kilimanjaro Christian Medical University College; 2013:79.
- Moi University. Strategic Plan 2009/ 10–2014/15 (Revised). Eldoret, Kenya: Moi University; undated.
- Moi University. Moi University in Brief. Eldoret, Kenya: Moi University Press; 2012.
- Adam JA, King C, Hook D. Global Reseach Report—Africa. Leeds, UK: Thomson Reuters; 2010.
- Brautigam D. The Dragon's Gift: The Real Story of China in Africa. Oxford, UK: Oxford University Press; 2009: 397.
- Casey M. Partnership—success factors of interorganizational relationships. J Nurs Manage 2008;16:72–83.
- 50. Horton D, Alexaki A, Bennett-Lartey S, et al. Evaluating Capacity Development: Experiences from Research and Development Organizations around the World. The Hague, The Netherlands: International Service for National Agricultural Research (ISNAR); Ottowa, ON, Canada: International

Development Research Centre (IDRC); Wageningen, The Netherlands: ACP-EU Technical Centre for Agricultural and Rural Cooperation (CTA); 2003.

- 51. Shivnan JC, Hill MN. Global nursing: sustaining multinational collaboration over time. In: Chapman R, ed. Cross-border Partnerships in Higher Education: Strategies and Issues. New York, NY: Routlege; 2011.
- 52. Boutilier Z, Daibes I, Di Ruggiero E. Global health research case studies: lessons from partnerships addressing health inequities. BMC Int Health Hum Rights 2011;11(Suppl 2):6.
- 53. Matheson AL, Pfeiffer J, Walson J, Holmes K. Sustainability and Growth

of University Global Health Partnerships. Washington, DC: Center for Strategic and International Studies; 2014.

- 54. Kwesigabo G, Mwangu MW, Kakoko DC, et al. Tanzania's health system and workforce crisis. J Public Health Policy 2012;33:s35–44.
- 55. Wakaba M, Mbindyo P, Ochieng J, et al. The public sector nursing workforce in Kenya: a county-level analysis. Hum Resour Health 2014;12:6.
- 56. Jentsch B, Pilley C. Research relationships between the South and the North: Cinderella and the ugly sisters? Soc Sci Med 2003;57:1957–67.
- 57. Chu KM, Jayaraman S, Kyamanwya P, Ntakiyiruta G. Building research

capacity in Africa: equity and global health collaborations. PLoS Med 2014;11:e1001612.

- Chandiwana S, Ornbjerg N. Review of North-South and South-South cooperation and conditions necessary to sustain research capability in developing countries. J Health Popul Nutr 2003;21:288–97.
- 59. World Bank. World Development Indicators 2015. Washington, DC: World Bank; 2015.
- 60. Organization for Economic Cooperation and Development. Development Aid at a Glance Statistics by Region—2. Africa 2015. Paris, France: Organization for Economic Cooperation and Development; 2015.

#### APPENDIX

#### Appendix 1. Table of International Partners Mentioned by Country

Countries of International Partners, Various Country Groupings, Number of Partnerships Mentioned, and Percentage of All Partnerships from Those Countries

	WB Income	WB Income				% of
Country	Group—General	Group—Detailed	North-South*	WHO Region	Frequency	Partnerships
United States	High income	High income—OECD	North	Region of the Americas	41	31.8%
Consortium	NA	NA	NA	NA	14	10.9%
United Kingdom	High income	High income—OECD	North	European Region	11	8.5%
South Africa	Lower middle income	Upper middle income	South	African Region	8	6.2%
Sweden	High income	High income—OECD	North	European Region	8	6.2%
Norway	High income	High income—OECD	North	European Region	7	5.4%
Canada	High income	High income—OECD	North	Region of the Americas	6	4.7%
Japan	High income	High income—OECD	North	Western Pacific Region	4	3.1%
Netherlands	High income	High income—OECD	North	European Region	4	3.1%
Australia	High income	High income—OECD	North	Western Pacific Region	2	1.6%
Belgium	High income	High income—OECD	North	European Region	2	1.6%
Denmark	High income	High income—OECD	North	European Region	2	1.6%
Egypt	Lower middle income	Lower middle income	South	Eastern Mediterranean	2	1.6%
				Region		
Germany	High income	High income—OECD	North	European Region	2	1.6%
Israel	High income	High income—OECD	North	European Region	2	1.6%
Kenya	Lower middle income	Low income	South	African Region	2	1.6%
Malawi	Lower middle income	Low income	South	African Region	2	1.6%
South Korea	High income	High income—OECD	North	Western Pacific Region	2	1.6%
Spain	High income	High income—OECD	North	European Region	2	1.6%
Uganda	Lower middle income	Low income	South	African Region	2	1.6%
India	Lower middle income	Lower middle income	South	South-East Asia Region	1	0.8%
Nigeria	Lower middle income	Lower middle income	South	African Region	1	0.8%
Singapore	High income	High income—non-OECD	North	Western Pacific Region	1	0.8%
Sudan	Lower middle income	Lower middle income	South	Eastern Mediterranean	1	0.8%
				Region		
Total					129	100.0%

NA, not applicable; OECD, Organization for Economic Co-operation and Development; WB, World Bank; WHO, World Health Organization. \* From https://meta.wikimedia.org/wiki/List\_of\_countries\_by\_regional\_classification. Accessed July 28, 2015.

## APPENDIX 2 PHASE 1 KEY INFORMANT INTERVIEW GUIDE

Overall Question: What in your opinion have been or are the 10 most important international partnerships since 1991 for strengthening the medicine, nursing, and/or public health programs of (name of the university)? Please answer the following questions for up to 10 partnerships.

a. What is the name of partner institution, or institutions (if it's a consortium)? Where is (are) the partner(s) located (university/institution, city and country)?

- b. Who is the lead representative for the partnership? What is his/her contact information (telephone number & e-mail)?
- c. What year did the partnership start?
- d. What year did the partnership end? Or is it ongoing?
- e. What is (was) the duration of the partnership to date?
- f. Which schools (Medicine, Nursing, and/or Public Health) are (were) involved in the partnership?
- g. What departments in each of the schools are involved in the partnership? Please name them.
- h. Who is the overall lead of the partnership for your institution?

- i. Is the partnership project or program-based?(i) Who funds it? Who has funded it?
- j. Does the partnership include education, research, and/ or service (clinical or community service) components?
  - (i) If there is a service component, is it clinical and/ or community service?
- k. What components (education, research, and/or service) of the partnership are most significant? Rank 1, 2, 3.
- 1. Estimate the level of effort for each component (education, research, and/or service) as a percentage (%).
- m. What are the principal education, research, and/or service objectives and outputs within the partner-ship, as applicable?
- n. How valuable was/is the partnership to your college or school, as appropriate? (High, medium, low)
- o. Please rank all the partnerships you identified in order of significance (1 to *n*)—with 1 being the most significant partnership.

## APPENDIX 3 DATA FIELDS FOR EACH INTERNATIONAL PARTNER

- 1. *Focus-Name:* Name of the focus university—MU, UoN, KCMUCo, or MUHAS.
- 2. *Name of Institution*: Name of the international partner university.
- City: City in which the international partner university is based.
- Country: Country in which the international partner is based.
- 5. Years: Age of the partnership in years.
- 6. *Status*: Whether the partnership is currently active. Binary: 1 for active; 0 for inactive.
- 7. **Only-Med**: Whether the partnership focused solely/ primarily on activities with the medical school. Binary: 1 for yes; 0 for no.
- 8. **Only-Nur**: Whether the partnership focused solely/ primarily on activities with the nursing school. Binary: 1 for yes; 0 for no.
- Only-PH: Whether the partnership focused solely/ primarily on activities with the public health school. Binary: 1 for yes; 0 for no.

- MedSNur: Whether the partnership focused solely/primarily on activities with the medicine and nursing schools. Binary: 1 for yes; 0 for no.
- 11. *Med&PH*: Whether the partnership focused solely/primarily on activities with the medicine and public health schools. Binary: 1 for yes; 0 for no.
- 12. Nur&PH: Whether the partnership focused solely/primarily on activities with the nursing and public health schools. Binary: 1 for yes; 0 for no.
- 13. *All-Progs*: Whether the partnership included all three schools. Binary: 1 for yes; 0 for no.
- Only-Edu: Whether the partnership focused solely/ primarily on education activities/components. Binary: 1 for yes; 0 for no.
- Only-Res: Whether the partnership focused solely/ primarily on research activities/components. Binary: 1 for yes; 0 for no.
- Only-Ser: Whether the partnership focused solely/ primarily on service activities/components. Binary: 1 for yes; 0 for no.
- EduceRes: Whether the partnership focused solely/ primarily on education activities/components. Binary: 1 for yes; 0 for no.
- EduceSer: Whether the partnership focused solely/ primarily on education and service activities/components. Binary: 1 for yes; 0 for no.
- Res&Ser: Whether the partnership focused solely/ primarily on research and service activities/components. Binary: 1 for yes; 0 for no.
- 20. *All-Comps*: Whether the partnership included activities/components in education, research, and service. Binary: 1 for yes; 0 for no.
- 21. # of Reps 2: The number of representatives who identified the international partner as a significant partner.

KCMUCo, Kilimanjaro Christian Medical University College; MU, Moi University; MUHAS, Muhimbili University of Health and Allied Sciences; UoN, University of Nairobi.